



ATTY DOCKET #: IIZ.003D2C



APPLICANT: Takashi Ohsumi **DUE DATE:**

SERIAL NO.: 10/689,936

**FILING DATE:** October 22, 2003

**TITLE:** A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS  
INCLUDING A SEALING MEMBER WITH REDUCED THERMAL  
STRESS

**RECEIPT OF THE FOLLOWING PAPERS IS ACKNOWLEDGED:**

Transmittal of Information Disclosure Statement; Statement Under 37 C.F.R.  
1.97(e) Accompanying Information Disclosure Statement; PTO/SB/08A Form;  
and Letter of Related Application.

**DATE:** April 25, 2005

**ATTY:** AJT

**[Check No. N/A ]**

**BEST AVAILABLE COPY**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(c))

Docket No.  
HIZ.003D2C

In Re Application Of: Takashi Ohsumi

Application No.

10/689,936

Filing Date

October 22, 2003

Examiner

F. Toledo

Customer No.

Group Art Unit

2823

Confirmation No.

4027

Title: **A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING MEMBER WITH REDUCED THERMAL STRESS**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

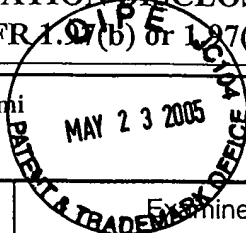
☐ the fee set forth in 37 CFR 1.17(p).

**Note: The references listed on Form PTO/SB/08A were cited in copending U.S. Application Serial No. 10/957,620, and thus may be material to the prosecution of the present application.**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
IIZ.003D2C

In Re Application: Takashi Ohsumi



Application No. 10/689,936	Filing Date October 22, 2003	Examiner F. Toledo	Customer No.	Group Art Unit 2823	Confirmation No. 4027
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Title: **A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING MEMBER WITH REDUCED THERMAL STRESS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0238 as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Signature

Dated: April 25, 2005

ANDREW J. TELESZ, JR.  
REG. NO. 33,581

VOLENTINE FRANCO & WHITT, P.L.L.C.  
ONE FREEDOM SQUARE  
11951 FREEDOM DRIVE, SUITE 1260  
RESTON, VA 20190  
TEL. NO. (571) 283-0720

CC:

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

Docket No.  
IIZ.003D2C

In Re Application Of: Takashi Ohsumi

MAY 23 2005

Application No.  
10/689,936

Filing Date  
October 22, 2003

Examiner  
F. Toledo

Customer No.

Group Art Unit  
2823

Confirmation No.  
4027

Invention: A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING  
MEMBER WITH REDUCED THERMAL STRESS

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

ANDREW J. TELESZ, JR.  
REG. NO. 33,581

VOLENTINE FRANCO & WHITT, P.L.L.C.  
ONE FREEDOM SQUARE  
11951 FREEDOM DRIVE, SUITE 1260  
RESTON, VA 20190  
TEL. NO. (571) 283-0720

Dated: April 25, 2005

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Substitute for form 14497

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

Application Number	10/689,936
Filing Date	October 22, 2003
First Named Inventor	Takashi Ohsumi
Art Unit	2823
Examiner Name	F. Toledo
Attorney Docket Number	IIZ.003D2C

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language. Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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